

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. <b>FIS920010069US1</b>	
In Re Application Of: <b>Casimer M. DeCusatis, et al.</b>					<b>(14401)</b>	
Application No. <b>09/865,256</b>	Filing Date <b>May 25, 2001</b>	Examiner <b>Dung T. Nguyen</b>	Customer No. <b>23389</b>	Group Art Unit <b>2855</b>	Confirmation No. <b>3977</b>	
Title: <b>APPARATUS AND METHOD FOR WAVELENGTH-LOCKED LOOPS FOR SYSTEMS AND APPLICATIONS EMPLOYING ELECTROMAGNETIC SIGNALS</b>						
Address to: <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>						
<b>37 CFR 1.97(b)</b>						
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
<b>37 CFR 1.97(c)</b>						
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div>						
<b>OR</b>						
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>						

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
FIS920010069US1

In Re Application: Casimer M. DeCusatis, et al.

(14401)

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/865,256	May 25, 2001	Dung T. Nguyen	23389	2855	3977

Title: **APPARATUS AND METHOD FOR WAVELENGTH-LOCKED LOOPS FOR SYSTEMS AND  
EMPLOYING ELECTROMAGNETIC SIGNALS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 09-0458/IBM as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)	
(Date) _____	Signature _____
Typed or Printed Name of Person Signing Certificate _____	

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <u>first class mail in an envelope addressed to</u> "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on <u>December 17, 2004</u>	
(Date) _____	Signature of Person Mailing Correspondence Steven Fischman
Typed or Printed Name of Person Mailing Certificate _____	

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: December 17, 2004

Steven Fischman

Registration No. 34,594

SCULLY, SCOTT, MURPHY & PRESSER

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Casimer M. DeCusatis, et al.

**Examiner:** Dung T. Nguyen

**Serial No.:** 09/865,256

**Group Art Unit:** 2855

**Filed:** May 25, 2001

**Docket:** FIS920010069US1 (14401)

**For:** APPARATUS AND METHOD FOR  
WAVELENGTH-LOCKED LOOPS  
FOR SYSTEMS AND APPLICATIONS  
EMPLOYING ELECTROMAGNETIC SIGNALS

**Dated:** December 17, 2004

**Confirmation No.** 3977

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,068,864, dated November 26, 1991, issued to Javan;
2. U.S. Patent No. 5,543,935, dated August 6, 1996, issued to Harrington;

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on December 17, 2004.

Dated: December 17, 2004

  
Steven Fischman

3. International Patent Application No. WO 99/43060 dated August 26, 1999; and
4. European Patent Application No. EP 0 840 468 A3, dated May 6, 1998.

Applicants are submitting a copy of International Patent Application No. WO 99/43060 and European Patent Application No. EP 0 840 468 A3. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



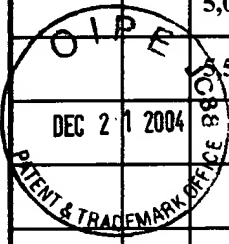
Steven Fischman  
Registration No. 34, 594

Scully, Scott, Murphy & Presser  
400 Garden City Plaza, Suite 400  
Garden City, New York 11530  
(516) 742-4343

SF:gc

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>FIS920010069US1 (14401)</b>		Application Number <b>09/865,256</b>	
				Applicant(s) <b>Casimer M. DeCusatis, et al.</b>			
				Filing Date <b>May 25, 2001</b>		Group Art Unit <b>2855</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,068,864	11/26/91	Javan			
		5,543,935	08/06/96	Harrington			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/43060	08/26/99	PCT				
		EP 0 840 468 A3	05/06/98	Europe				

OTHER DOCUMENTS			(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.